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2-D NONLINEAR THEORY OF THE FREE ELECTRON LASER AMPLIFIER FOR A--ETC(II)  
APR 82 C TANG, P SPRANGLE

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20. ABSTRACT (Continue on reverse side if necessary and identify by block number)  This paper treats the growth of the radiation field in two-dimensions of a free electron laser on an electron beam with finite axial and transverse dimensions in the amplifying configuration. The general, self-consistent, nonlinear analysis includes various efficiency enhancement schemes, diffraction and refraction. In the axially symmetric, low gain, resonant macro particle limit, we obtain an analytical expression for the gain. An illustration at 10.6 <sup>μ</sup> m is given.		

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## **2-D Nonlinear Theory of the Free Electron Laser Amplifier for an Electron Beam with Finite Axial and Transverse Dimensions**

Many current experiments of the free electron laser (FEL), utilize electron beams from a millimeter to a few centimeters in pulse length. The short pulse length is typical of high energy accelerators such as RF Linacs and microtrons. The finite length effect of the electron beam on the radiation was found to be important in the Stanford oscillator experiment.<sup>(1)</sup> Currently, many experiments in the amplifying mode are being conducted with the short electron beam pulses. We have an analytical expression for the gain pulse of the radiation field, applicable to these experiments.

One-dimensional analysis of the radiation field for electron beams of finite length have been numerically simulated on computers.<sup>(2-4)</sup> The effect of the finite transverse dimensions was either not included, or incorporated through filling factors. On the other hand, previous three-dimensions self-consistent formulation<sup>(5)</sup> of the radiation field for a semi-infinitely long electron beam in the amplifying configuration has resulted in a number of interesting effects not obtainable by the 1-D formulation. Numerical effort to find the growth of the 3-D radiation field on the finite length electron beam began only recently.<sup>(6)</sup>

In this paper, we will present a fully 2-D, self-consistent, non-linear, analytical analysis of the FEL process in the amplifier mode of operation treating the finite length and transverse effects associated with both the electron beam and the radiation beam. Our formulism also includes various efficiency enhancement schemes: (i) contouring in the longitudinal direction the amplitude and/or the wavelength of the magnetic wiggler field, and (ii) applying an external

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TANG AND SPRANGLE

D.C. electric field. Analytical results in the amplifying configuration are obtained in the low gain, trapped particle regime.

The schematic of the configuration is shown in Fig. 1. The generalized vector potentials of the right-handed, helical, static magnetic wiggler field and the electromagnetic radiation field are

$$\mathbf{A}_w(z) = A_w(z) [\cos(\int_0^z k_w(z') dz') \hat{e}_x + \sin(\int_0^z k_w(z') dz') \hat{e}_y] \quad (1)$$

$$\begin{aligned} \mathbf{A}_R(x, y, z, t) = A_R(x, y, z, t) & [\cos(\frac{\omega}{c} z - \omega t + \varphi(x, y, z, t)) \hat{e}_x \\ & - \sin(\frac{\omega}{c} z - \omega t + \phi(x, y, z, t)) \hat{e}_y] \end{aligned} \quad (2)$$

where  $A_w$  and  $k_w$  are all slowly varying amplitude and wave number of the wiggler field and  $A_R$  and  $\varphi$  are slowly varying amplitude and phase of the electromagnetic radiation field following the electron pulse. We also include an external DC electric field,  $E_{DC}(z) = -\partial\phi_{DC}(z)/\partial z \hat{e}_z$ , for the purpose of efficiency enhancement.

In this analysis we will not consider the gradient in the wiggler field. This is a good approximation if  $k_w r_b \ll 1$ , where  $r_b$  is the radius of the electron beam. If the FEL is operating in a trapped particle mode, we also require<sup>(5)</sup>  $r_b < (\gamma_{z0} k_w)^{-1} (8\sqrt{2}\gamma_z/\beta_{\alpha})^{1/2} (A_R/A_w)^{1/4}$ , where  $\gamma_{z0} = (1 - v_{z0}^2/c^2)^{-1/2}$ ,  $\beta_{\alpha} = |e| A_w / (\gamma_0 m_0 c^2)$ ,  $\gamma_0 = \gamma_{z0}\gamma_{\alpha}$ ,  $\gamma_{\alpha} = (1 + |e|^2 A_w^2(0)/(m_0^2 c^4))^{1/2}$ , and  $v_{z0}$  is the axial velocity at  $z = 0$ .

The electron motion can be described in terms of their phase  $\tilde{\psi}$  in the ponderomotive wave:

$$\begin{aligned} \frac{1}{c^2} \frac{d^2 \tilde{\psi}}{dt^2} = & \frac{1}{c^2} \frac{d^2 \varphi(\tilde{z}, t)}{dt^2} + \frac{\partial k_w(\tilde{z})}{\partial \tilde{z}} \Bigg|_{\tilde{z}=\tilde{z}} - \frac{1}{2} \frac{\omega}{c} \frac{1}{\tilde{\gamma}^2} \left( \frac{|e|}{m_0 c^2} \right)^2 \frac{\partial A_w^2(\tilde{z})}{\partial \tilde{z}} \Bigg|_{\tilde{z}=\tilde{z}} \\ & + \frac{\omega}{c} \frac{1}{\tilde{\gamma} \tilde{\gamma}_z^2} \left( \frac{|e|}{m_0 c^2} \right) \frac{\partial \phi_{DC}(\tilde{z})}{\partial \tilde{z}} \Bigg|_{\tilde{z}=\tilde{z}} + \frac{2k_w(\tilde{z})}{\tilde{\gamma}^2} \frac{\omega}{c} \left( \frac{|e|}{m_0 c^2} \right)^2 A_w(\tilde{z}) A_R \sin \tilde{\psi} \end{aligned} \quad (3)$$

where  $\tilde{\psi}(x_0, y_0, \xi_0, t) = \int_0^{\tilde{z}(x_0, y_0, \xi_0, t)} (k_w(z') + \omega/c) dz' + \omega t + \varphi(\tilde{x}, \tilde{y}, \tilde{z}, t)$  is the phase for the electron, which was at  $(x_0, y_0, \xi_0)$  at  $t = 0$ ,  $\tilde{\gamma} = \tilde{\gamma}_z \tilde{\gamma}_L$ ,  $\tilde{\gamma}_z = (1 - \tilde{v}_z^2/c^2)^{-1/2}$ ,  $\tilde{\gamma}_L = (1 + |e|^2 A_w^2(\tilde{z})/(m_0^2 c^4))^{1/2}$ ,  $\tilde{v}_z = [d\tilde{\psi}/dt - d\varphi/dt + \omega]/[k_w(\tilde{z}) + \omega/c]$  is the axial velocity,  $\tilde{z} =$

NRL MEMORANDUM REPORT 4774

$\xi_0 + \int_0^t \bar{v}_z(x_0, y_0, \xi_0, t') dt'$  is the axial position of the electron and  $\xi_0$  is the axial position of the electron relative to the center of the electron beam at  $t = 0$ .

The wave equation for the radiation field is  $(\nabla^2 - c^{-2}\partial^2/\partial t^2)\mathbf{A}_R = -4\pi c^{-1}\mathbf{J}$ , where

$$\mathbf{J} = \frac{-|e|n_0}{m_0} \int_{-\infty}^{\infty} d\xi_0 \int_{-\infty}^{\infty} dx_0 \int_{-\infty}^{\infty} dy_0 \theta(x_0, y_0) h(\xi_0) \gamma^{-1} \mathbf{P}_1 \delta(x - x_0) \delta(y - y_0) \delta(z - \bar{z}) \quad (4)$$

is the current,  $(x_0, y_0)$  are the particle's transverse positions at  $t = 0$ ,  $\theta(x_0, y_0)$  is the transverse current density profile,  $h(\xi_0)$  is the macroscopic electron pulse shape,  $n_0$  is the peak current density, and  $\mathbf{P}_1 = \frac{|e|}{c} \mathbf{A}_w$  is the transverse momentum.

We can rewrite the radiation field as  $\mathbf{A}_R = a_R(x, y, z, t) \exp[i(\omega z/c - \omega t)] \hat{e}_+ + \text{c.c.}$ , where  $a_r = A_R \exp(i\varphi)$  is the complex amplitude of the radiation field, and  $\hat{e}_{\pm} = (\hat{e}_x \pm i\hat{e}_y)/2$  is a new coordinate system. The wave equation assumes the form

$$\left[ \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} + 2i\frac{\omega}{c} \left( \frac{\partial}{\partial z} + \frac{1}{c} \frac{\partial}{\partial t} \right) \right] a_R(x, y, z, t) = -\frac{\omega_b^2}{c^2} \int_{-\infty}^{\infty} d\xi_0 \int_{-\infty}^{\infty} dx_0 \int_{-\infty}^{\infty} dy_0 \theta(x_0, y_0) h(\xi_0) \frac{A_w(z)}{\gamma} \exp \left[ -i \left( \int_0^z (k_w(z') + \omega/c) dz' - \omega t \right) \right] \delta(x - x_0) \delta(y - y_0) \delta(z - \bar{z}). \quad (5)$$

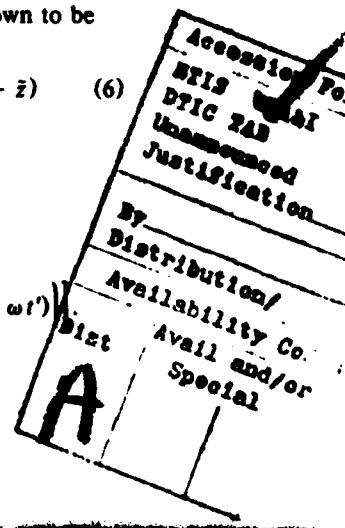
In obtaining (5), we have used the fact that  $|(\partial^2/\partial z^2 - c^{-2}\partial^2/\partial t^2)a_R| \ll 2\omega/c|\partial/\partial z + c^{-1}\partial/\partial t|a_R$ .

The solution for  $a_R$  can be separated into the input radiation field  $a_{in}$ , and the excited radiation field,  $a_{ex}$ , such that  $a_R = a_{in} + a_{ex}$ . The excited radiation field can be shown to be

$$a_{ex} = \int_0^t dt' \int_{-\infty}^{\infty} d\xi_0 \int_{-\infty}^{\infty} dx_0 \int_{-\infty}^{\infty} dy_0 f(x_0, y_0, \xi_0, x, y, z, t') \delta(z - c(t-t') - \bar{z}) \quad (6)$$

where

$$f = \frac{-1}{4\pi} \frac{\omega_b^2}{c^2} \theta(x_0, y_0) h(\xi_0) \frac{A_w(z - c(t-t'))}{(t-t')\gamma} \exp \left[ i \left( \frac{(x-x_0)^2 + (y-y_0)^2}{2c(t-t')} \right) \frac{\omega}{c} \right] \exp \left[ -i \left( \int_0^{z-c(t-t')} (k_w(z') + \omega/c) dz' - \omega t' \right) \right]$$



TANG AND SPRANGLE

The integral in time of Eq. (6) can be evaluated by changing the argument of the delta function.

$$a_{ex} = \int_0^t dt' \int_{-\infty}^{\infty} d\xi_0 \int_{-\infty}^{\infty} dx_0 \int_{-\infty}^{\infty} dy_0 f(x_0, y_0, \xi_0, x, y, z, t') \frac{\delta(t' - \tau_0)}{c - \frac{\partial \tilde{z}}{\partial t'}} \\ = \int_{z - ct}^{z - \int_0^t v_z(x_0, y_0, \xi_0, t') dt'} d\xi_0 \frac{f(x_0, y_0, \xi_0, x, y, z, \tau_0)}{c - v_z(x_0, y_0, \xi_0, \tau_0)} \quad (7)$$

where  $\tau_0(x_0, y_0, \xi_0, t)$  is the retarded time associated with the electron, which originated at  $(x_0, y_0, \xi_0)$  at  $t = 0$ . The retarded time satisfies the equation

$$\xi_0 + z_c(\tau_0) + c(t - \tau_0) = \xi + z_c(t) \quad (8)$$

where  $z_c(t) = \int_0^t v_{sc}(t') dt'$  is the macroscopic location of the center of the electron beam at time  $t$ ,  $v_{sc}(t) = \omega/(k_w(z_c(t)) + \omega/c)$  is the macroscopic velocity of the electron pulse,  $\xi$  is the position of the electron relative to the center of the electron beam at time  $t$ .

The complex radiation amplitude in Eq. (8) can be evaluated if we make the following simplifying assumptions. For experimental parameters of interest, we can assume that the bunching mechanism does not alter the macroscopic electron pulse shape, hence, it travels undistorted through the interaction region. We will assume that the electron beam has an axially symmetric Gaussian profile in the transverse direction, i.e.,  $\theta(x_0, y_0) = \exp[-(x_0^2 + y_0^2)/r_0^2]$ . Furthermore, we will assume that the waist of the input radiation field  $r_0$  is much larger than the radius of the electron beam  $r_b$ , such that  $\tilde{\psi}$  is approximately a function of  $\xi_0$  and  $t$  only. The excited radiation field takes the form

$$a_{ex}(r, \xi, t) = -\frac{r_b^2}{8\pi} \frac{\omega_b^2}{c^2} \int_{\xi + z_c(t) - ct}^{\xi} d\xi_0 h(\xi_0) \\ \frac{A_w(\xi + z_c(t) - c(t - \tau_0))}{\tilde{\gamma}} \frac{(1 + \tilde{v}_z/c)\tilde{\gamma}_z^2}{c(t - \tau_0) - iz_b} \\ \exp\left[i\left(\frac{r_b^2}{c(t - \tau_0) - iz_b}\right)\right] \exp[-i(\tilde{\psi}(\xi_0, \tau_0) + \varphi(r, \xi, \tau_0))] \quad (9)$$

NRL MEMORANDUM REPORT 4774

where  $z_b = r_b^2 \omega / 2c$  is the Rayleigh length associated with the electron beam radius. Equations (3) and (9) describe self-consistently a general, nonlinear, 2-D, FEL amplifier with a macroscopic pulse shape  $h(\xi_0)$ .

For the purpose of illustrating the finite length pulse effects in an FEL amplifier operating in the low gain limit, i.e.,  $|a_{in}| \gg |a_{ex}|$ , we take the electron beam profile to be uniform, i.e.,  $h(\xi_0) = 1$  for  $|\xi_0| \leq L_b/2$  and  $h(\xi_0) = 0$  for  $|\xi_0| > L_b/2$ , where  $L_b$  is the length of the electron pulse. We also make the constant phase, resonant particle approximation. In this approximation all particles are assumed to have the same constant phase,  $\tilde{\psi}_R$ . The electron beam in this approximation consists of a pulse train of macro particles separated in distance by  $2\pi v_0/\omega$ . Furthermore, we will limit ourselves at this point to a constant parameter wiggler and consider only an external DC electric potential. The amplitude and phase of the total field are

$$A_R(r, \xi, t) = A_{in} - \alpha_0^2 A_w [I_r \cos \tilde{\psi}_R + I_i \sin \tilde{\psi}_R] \quad (10a)$$

$$\varphi(r, \xi, t) = -\alpha_0^2 A_w [I_i \cos \tilde{\psi}_R - I_r \sin \tilde{\psi}_R] \quad (10b)$$

where  $A_{in} = |a_{in}|$ ,  $I_r = \text{Re}(I)$ ;  $I_i = \text{Im}(I)$ ,  $I = E_i \left[ \frac{-r^2}{r_b^2} q_l \right] - E_i \left[ \frac{-r^2}{r_b^2} q_u \right]$ ,  $E_i$  is the

exponential integral function,

$$q_l = (-iz_b)(2\gamma_{zR}^2(\xi - \xi_{0,l}) - iz_b)^{-1},$$

$$q_u = (-iz_b)(2\gamma_{zR}^2(\xi - \xi_{0,u}) - iz_b)^{-1}.$$

$\xi_{0,u} = \xi$  (for  $\xi < L_b/2$ ) and  $\xi = L_b/2$  (for  $\xi \geq L_b/2$ ) is the upper limit of the integration,  $\xi_{0,l} = \xi - (c - v_{sc})t$  (for  $\xi - (c - v_{sc})t > -L_b/2$ ) and  $\xi_{0,l} = -L_b/2$  (for  $\xi - (c - v_{sc})t < -L_b/2$ ) is the lower limit of the integration, and  $\gamma_{zR}$  is the resonant gamma associated with the axial motion.

A more realistic electron beam profile  $h(\xi_0) = 1 - (2\xi_0/L_b)^2$  (for  $\xi_0 \leq L_b/2$ ) and  $h(\xi_0) = 0$  (for  $|\xi_0| \geq L_b/2$ ) can also be integrated. The result is not given here, because the more complicated expressions would obstruct the initial understanding of the physical process of the pulse propagation.

#### TANG AND SPRANGLE

As an example of a  $10.6 \mu\text{m}$  FEL utilizing a CO<sub>2</sub> laser as an input field, we choose an electron beam of energy 25 MeV ( $\gamma_0 = 50$ ), current of  $I = 5 \text{ A}$  and radius (Gaussian profile) of  $r_b = 0.5 \text{ mm}$  and pulse length  $L_b = 3 \text{ mm}$ . Such a beam has a peak density on axis of  $n_0 = 1.3 \times 10^{11} \text{ cm}^{-3}$  ( $\omega_b = 2.0 \times 10^{10} \text{ sec}^{-1}$ ). The constant parameter wiggler has a magnitude of  $B_w = 5.0 \text{ kG}$  and wavelength of  $l_w = 2.8 \text{ cm}$  which gives  $A_w = 2.2 \times 10^3 \text{ statvolts}$ . The wiggler velocity is  $v_{01} = 2.6 \times 10^{-2} c$  which gives  $\gamma_1 = 1.35$  and  $\gamma_z = 37$ . The input CO<sub>2</sub> power density is taken to be  $P_{in} = 4 \times 10^8 \text{ W/cm}^2$  which gives  $A_{in} = 0.30 \text{ statvolts}$ . Our illustration assumes resonant macro particle approximation and an applied D.C. electric potential such that  $\sin \psi_R = 0.6$ .

#### The schematics of the gain

$$G(r, \xi, t) = (A_R(r, \xi, t) - A_{in})/A_{in}$$

are shown in Figs. 2 and 3. The slashed bars in the  $(z, t)$  plot of Fig. 2 denote the locations of the electron beams at  $t_1 = 1 \text{ m/c}$  and  $t = 2 \text{ m/c}$ , which  $c$  is the speed of light. The solid lines in the  $(z, t)$  plot are the light lines. The gain pulse on axis are plotted at times  $t_1$  and  $t_2$ . We see that the excited radiation pulse grows and spreads beyond the electron beam pulse. The transverse variation of the gain at  $\xi = 0$  for various times are plotted in Fig. 3. The decrease of radiation field far from the axis is due to refraction toward the center of the beam.

We have obtained a general expression for the growth of the 2-D, stimulated radiation pulse on an electron beam of finite axial and transverse dimensions in an FEL amplifier. We included diffraction as well as refraction. In the axially symmetric, low gain, resonant macro particle limit, we have an *analytical* expression for the radiation gain. The formalism presented here can be modified to study the radiation build up and "laser lethargy" in the FEL oscillator.

#### ACKNOWLEDGMENT

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NRL MEMORANDUM REPORT 4774

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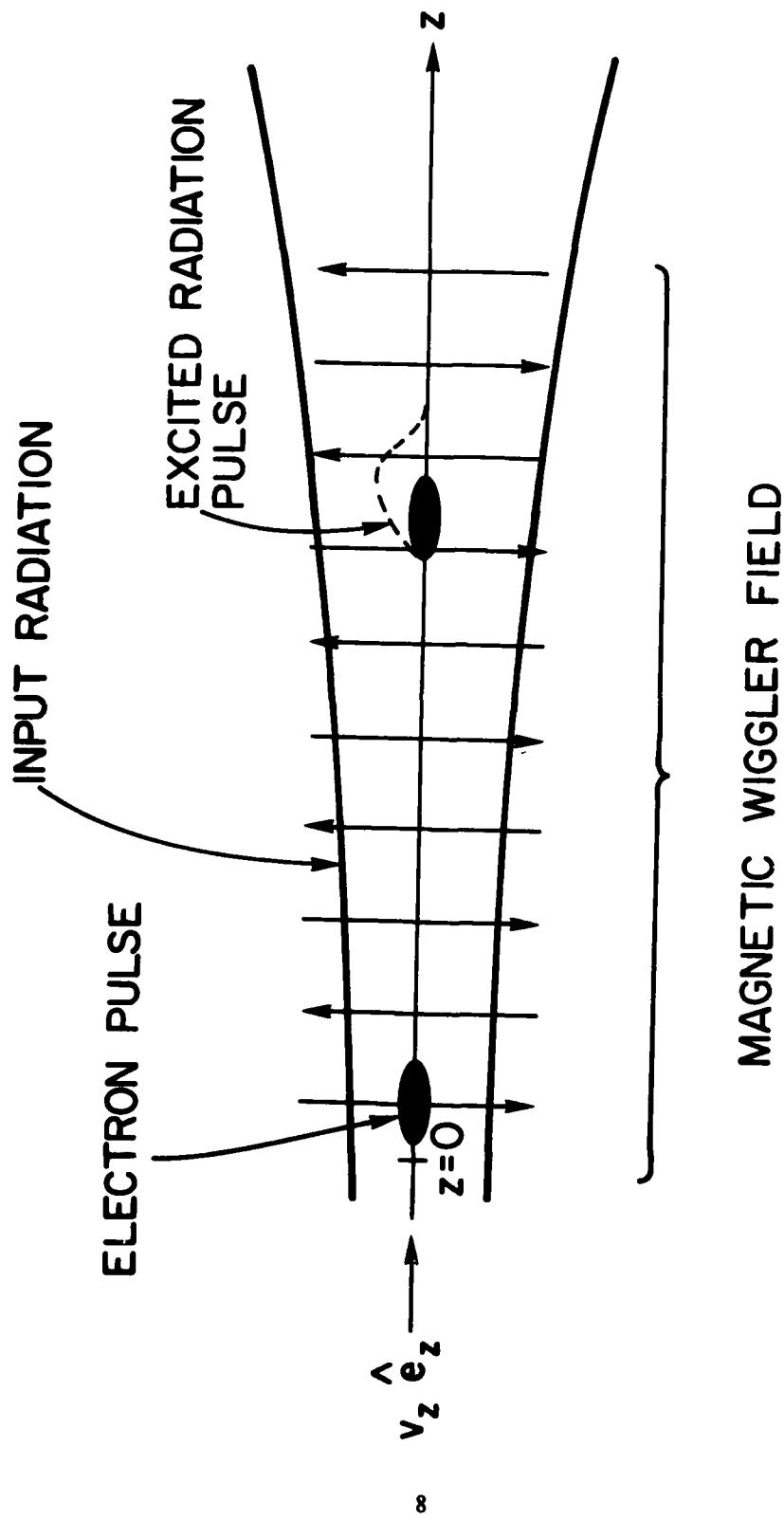


Fig. 1 — Schematic of the free electron laser with short electron pulse in an amplifying configuration

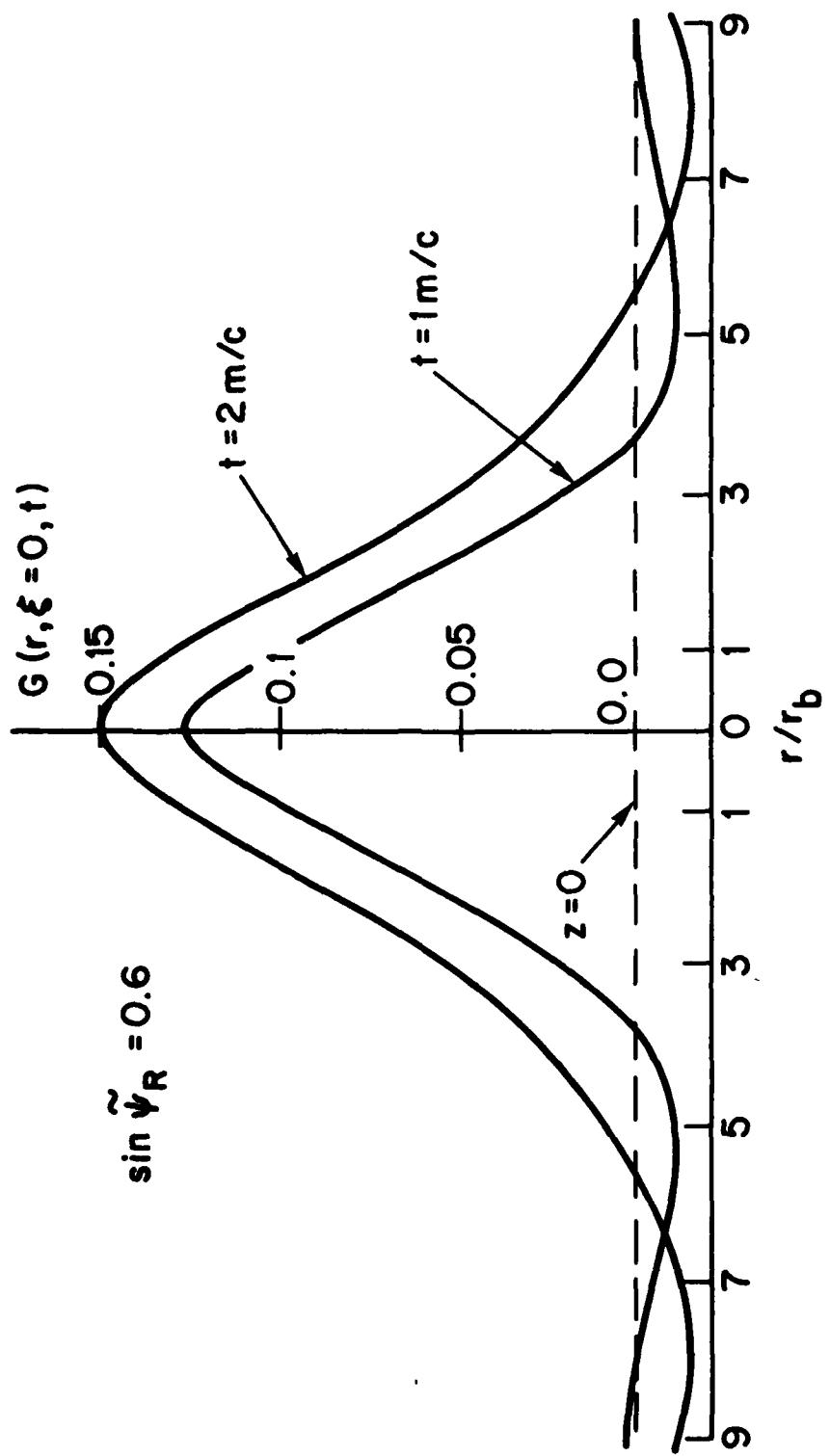


Fig. 2 — Plot of gain pulse on axis,  $r = 0$ , as a function of  $\xi$  at various times

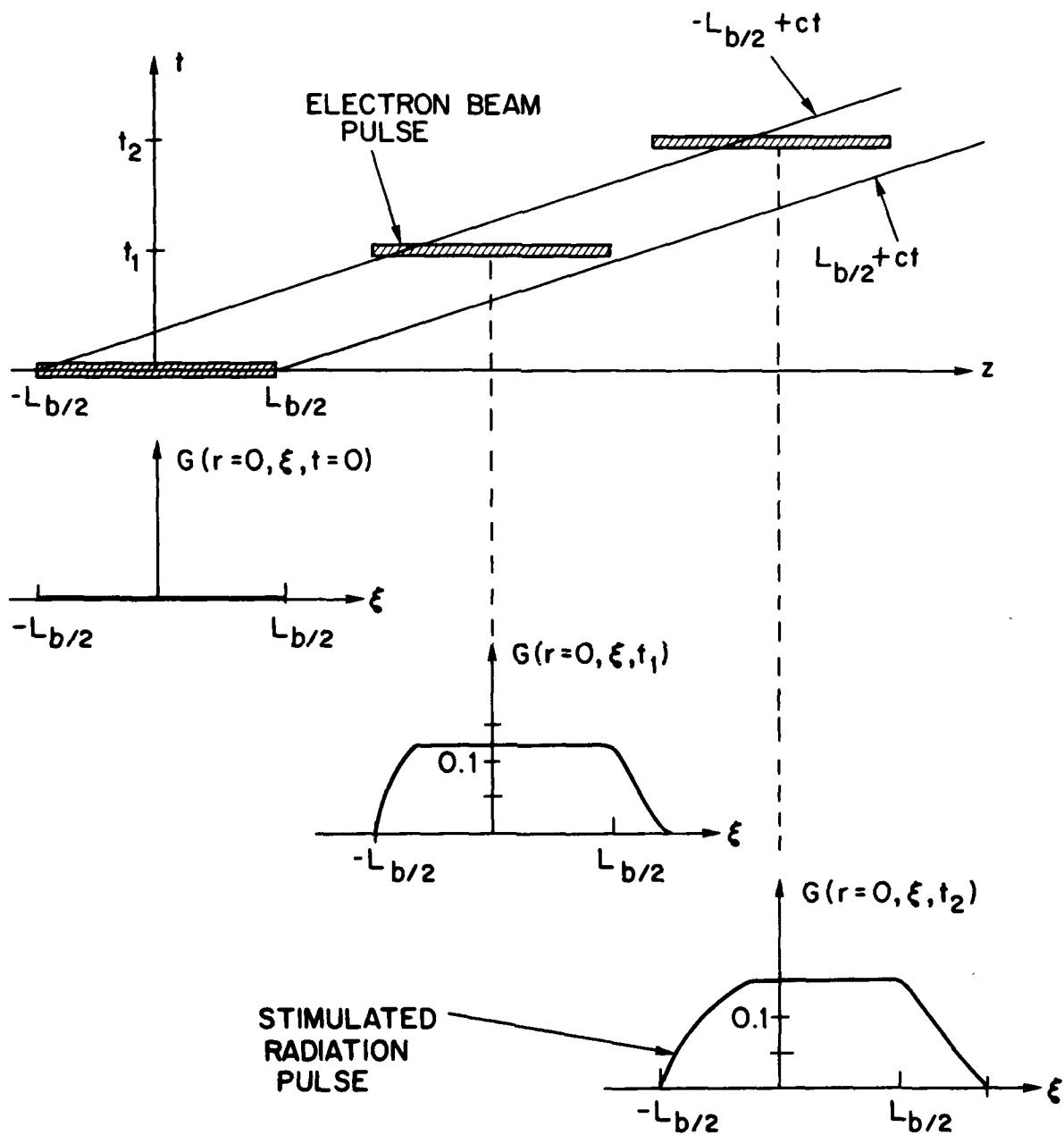


Fig. 3 — The transverse variation of the gain at  $\xi = 0$  for various times

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